

2-11-02

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20/07/02

|   |  |                        |                                     |
|---|--|------------------------|-------------------------------------|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br><i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> |  | Attorney Docket No.    | 64,149-162                          |
|   |  | First Inventor         | Lucio Giambattista, et al.          |
|   |  | Title                  | PEN NEEDLE AND SAFETY SHIELD SYSTEM |
|   |  | Express Mail Label No. | EV016365635US                       |

10/07/2002  
10/07/2001  
02/07/02**APPLICATION ELEMENTS***See MPEP chapter 600 concerning utility patent application contents*

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages (26)]  
*(preferred arrangement set forth below)*  
Descriptive title of the invention  
Cross reference to related applications  
Statement regarding Fed sponsored R & D  
Reference to sequence listing, a table, or a  
Computer program listing appendix  
Background of the Invention  
Brief Summary of the invention  
Brief Description of the Drawings  
Detailed description  
Claim(s)  
Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) [Total Sheets (7)]
5.  Oath or Declaration [Total Pages (4)]
  - a.  Executed (Unexecuted) (original copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement  Copies of IDS (IDS)/PTO-1449 Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: Check for the payment of the filing fee

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data sheet under 37 CFR. 76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application no.: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

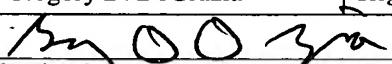
**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Label

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| Name (Print/Type) | Gregory D. DeGrazia   | Registration No. (Attorney/Agent) | 48,944 |
| Signature         |  |                                   | Date   |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 992.00)

## Complete if Known

|                      |                           |
|----------------------|---------------------------|
| Application Number   | Herewith                  |
| Filing Date          | February 7, 2002          |
| First Named Inventor | Lucio Giambattista, et al |
| Examiner Name        | Not Yet Assigned          |
| Group Art Unit       | Not Yet Assigned          |
| Attorney Docket No.  | 64,149-162                |

| METHOD OF PAYMENT   |                       |                       |   | FEE CALCULATION (continued)   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
|---|-----------------------|-----------------------|---|---|--|--|--|----------|-----------------------|-----------------------|-----------------|----------|-----|-----|-----|--|--|-----|----|-----|---|--|-----|-----|-----|-------------------------------|--|-----|-------|-----|---|--|-----|------|-----|---|--|-----|--------|-----|--|--|-----|-----|-----|---|--|-----|-----|-----|---|--|-----|-----|-----|--|--|-----|-------|-----|---|--|-----|-------|-----|--|--|-----|-----|-----|----------------------|--|-----|-----|-----|--|--|-----|-----|-----|------------------------------|--|-----|-------|-----|---|--|-----|-----|-----|-------------------------------------|--|-----|-------|-----|--|--|-----|-------|-----|------------------------------------|--|-----|-----|-----|----------------------|--|-----|-----|-----|---------------------|--|-----|-----|-----|-----------------------------------|--|-----|----|-----|--|--|-----|-----|-----|---|--|-----|----|-----|---|--|-----|-----|-----|---|--|-----|-----|-----|--|--|-----|-----|-----|---|--|-----|-----|-----|---|--|---------------------------|--|--|--|--|---|--|--|--|---------------------|----------------------------------|--|--|--|
| <p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <b>08-2789</b></p> <p>Deposit Account Name <b>Howard &amp; Howard</b></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> |                       |                       |   | <p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4">*or number previously paid, if greater; For Reissues, see above</td> <td>SUBTOTAL (3) (\$ 0-</td> </tr> <tr> <td colspan="4">Reduced by Basic Filing Fee Paid</td> </tr> </tbody> </table> |  |  |  | Fee Code | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description | Fee Paid | 105 | 130 | 205 | 65 Surcharge - late filing fee or oath |  | 127 | 50 | 227 | 25 Surcharge - late provisional filing fee or cover sheet |  | 139 | 130 | 139 | 130 Non-English specification |  | 147 | 2,520 | 147 | 2,520 For filing a request for ex parte reexamination |  | 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action |  | 113 | 1,840* | 113 | 1,840* Requesting publication of SIR after Examiner action |  | 115 | 110 | 215 | 55 Extension for reply within first month |  | 116 | 400 | 216 | 200 Extension for reply within second month |  | 117 | 920 | 217 | 460 Extension for reply within third month |  | 118 | 1,440 | 218 | 720 Extension for reply within fourth month |  | 128 | 1,960 | 228 | 980 Extension for reply within fifth month |  | 119 | 320 | 219 | 160 Notice of Appeal |  | 120 | 320 | 220 | 160 Filing a brief in support of an appeal |  | 121 | 280 | 221 | 140 Request for oral hearing |  | 138 | 1,510 | 138 | 1,510 Petition to institute a public use proceeding |  | 140 | 110 | 240 | 55 Petition to revive - unavoidable |  | 141 | 1,280 | 241 | 640 Petition to revive - unintentional |  | 142 | 1,280 | 242 | 640 Utility issue fee (or reissue) |  | 143 | 460 | 243 | 230 Design issue fee |  | 144 | 620 | 244 | 310 Plant issue fee |  | 122 | 130 | 122 | 130 Petitions to the Commissioner |  | 123 | 50 | 123 | 50 Processing fee under 37 CFR 1.17(q) |  | 126 | 180 | 126 | 180 Submission of Information Disclosure Stmt |  | 581 | 40 | 581 | 40 Recording each patent assignment per property (times number of properties) |  | 146 | 740 | 246 | 370 Filing a submission after final rejection (37 CFR § 1.129(a)) |  | 149 | 740 | 249 | 370 For each additional invention to be examined (37 CFR § 1.129(b)) |  | 179 | 740 | 279 | 370 Request for Continued Examination (RCE) |  | 169 | 900 | 169 | 900 Request for expedited examination of a design application |  | Other fee (specify) _____ |  |  |  |  | *or number previously paid, if greater; For Reissues, see above |  |  |  | SUBTOTAL (3) (\$ 0- | Reduced by Basic Filing Fee Paid |  |  |  |
| Fee Code  | Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid  |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 105   | 130                   | 205                   | 65 Surcharge - late filing fee or oath  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 127   | 50                    | 227                   | 25 Surcharge - late provisional filing fee or cover sheet                     |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 139   | 130                   | 139                   | 130 Non-English specification   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 147   | 2,520                 | 147                   | 2,520 For filing a request for ex parte reexamination                         |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 112   | 920*                  | 112                   | 920* Requesting publication of SIR prior to Examiner action                   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 113   | 1,840*                | 113                   | 1,840* Requesting publication of SIR after Examiner action                    |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 115   | 110                   | 215                   | 55 Extension for reply within first month                                     |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 116   | 400                   | 216                   | 200 Extension for reply within second month                                   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 117   | 920                   | 217                   | 460 Extension for reply within third month                                    |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 118   | 1,440                 | 218                   | 720 Extension for reply within fourth month                                   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 128   | 1,960                 | 228                   | 980 Extension for reply within fifth month                                    |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 119   | 320                   | 219                   | 160 Notice of Appeal  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 120   | 320                   | 220                   | 160 Filing a brief in support of an appeal                                    |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 121   | 280                   | 221                   | 140 Request for oral hearing  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 138   | 1,510                 | 138                   | 1,510 Petition to institute a public use proceeding                           |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 140   | 110                   | 240                   | 55 Petition to revive - unavoidable   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 141   | 1,280                 | 241                   | 640 Petition to revive - unintentional  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 142   | 1,280                 | 242                   | 640 Utility issue fee (or reissue)  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 143   | 460                   | 243                   | 230 Design issue fee  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 144   | 620                   | 244                   | 310 Plant issue fee   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 122   | 130                   | 122                   | 130 Petitions to the Commissioner   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 123   | 50                    | 123                   | 50 Processing fee under 37 CFR 1.17(q)  |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 126   | 180                   | 126                   | 180 Submission of Information Disclosure Stmt                                 |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 581   | 40                    | 581                   | 40 Recording each patent assignment per property (times number of properties) |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 146   | 740                   | 246                   | 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 149   | 740                   | 249                   | 370 For each additional invention to be examined (37 CFR § 1.129(b))          |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 179   | 740                   | 279                   | 370 Request for Continued Examination (RCE)                                   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| 169   | 900                   | 169                   | 900 Request for expedited examination of a design application                 |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| Other fee (specify) _____   |                       |                       |   |   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
| *or number previously paid, if greater; For Reissues, see above   |                       |                       |   | SUBTOTAL (3) (\$ 0-   |  |  |  |          |                       |                       |                 |          |     |     |     |  |  |     |    |     |   |  |     |     |     |                               |  |     |       |     |   |  |     |      |     |   |  |     |        |     |  |  |     |     |     |   |  |     |     |     |   |  |     |     |     |  |  |     |       |     |   |  |     |       |     |  |  |     |     |     |                      |  |     |     |     |  |  |     |     |     |                              |  |     |       |     |   |  |     |     |     |                                     |  |     |       |     |  |  |     |       |     |                                    |  |     |     |     |                      |  |     |     |     |                     |  |     |     |     |                                   |  |     |    |     |  |  |     |     |     |   |  |     |    |     |   |  |     |     |     |   |  |     |     |     |  |  |     |     |     |   |  |     |     |     |   |  |                           |  |  |  |  |   |  |  |  |                     |                                  |  |  |  |
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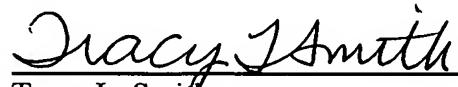
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Tracy L. Smith

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